

# A SAFE PROCESS THROUGH VALIDATION



**NPSA SHELLERS FORUM  
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# A Brief Look Into History



**2001 OUTBREAK IN CANADA**

**PHAGE TYPE 30**



**SALMONELLA enteritidis**



**PHAGE TYPE 9C**

**2004 OUTBREAK IN OREGON**

# What Is A Process Authority



- **Demonstrate educational requirements and experience to evaluate the effectiveness of a process to reduce the levels of salmonella.**
- **Apply standardized inoculation and testing procedures.**
- **Understand the process, develop temperature profiles.**
- **Submit detailed report to TERP.**
- **Obtain validation certificate.**

# Processes Almonds and Pecans Have In Common



- Hot water treatment---2 minutes @190°F.
- Oil Roasting --- 2 minutes @260°F.
- Propylene Oxide (PPO) treatment ---.5 ounces/ft<sup>3</sup>

# What is 4-Log & 5-log



- What is a Log? Count the zeros (10=1 log, 100= 2 logs)
- 4 -log  $\rightarrow$  10,000 fold ABC requirement
- 5 -log  $\rightarrow$  100,000 fold to label as pasteurized (FDA)
- Importance of “Risk Assessment” based on the pathogen contamination level of pecans.
  - ✦ For example, almond contamination averaged .85% and the Risk Assessment suggested a 4-log would be safe.
  - ✦ If pecans have a lower level of contamination ,the Risk Assessment may suggest something lower than a 4-log.

# Validation By Temperature Profile



- By using Temperature Data recorders, develop temperature profile of hot water/oil system.
- If it has 2 minutes @190°F water it meets FDA 5-log approval.
- If it has 2 minutes @ 260°F oil it meets FDA 5-log approval.

# Validation By Time & Dosage

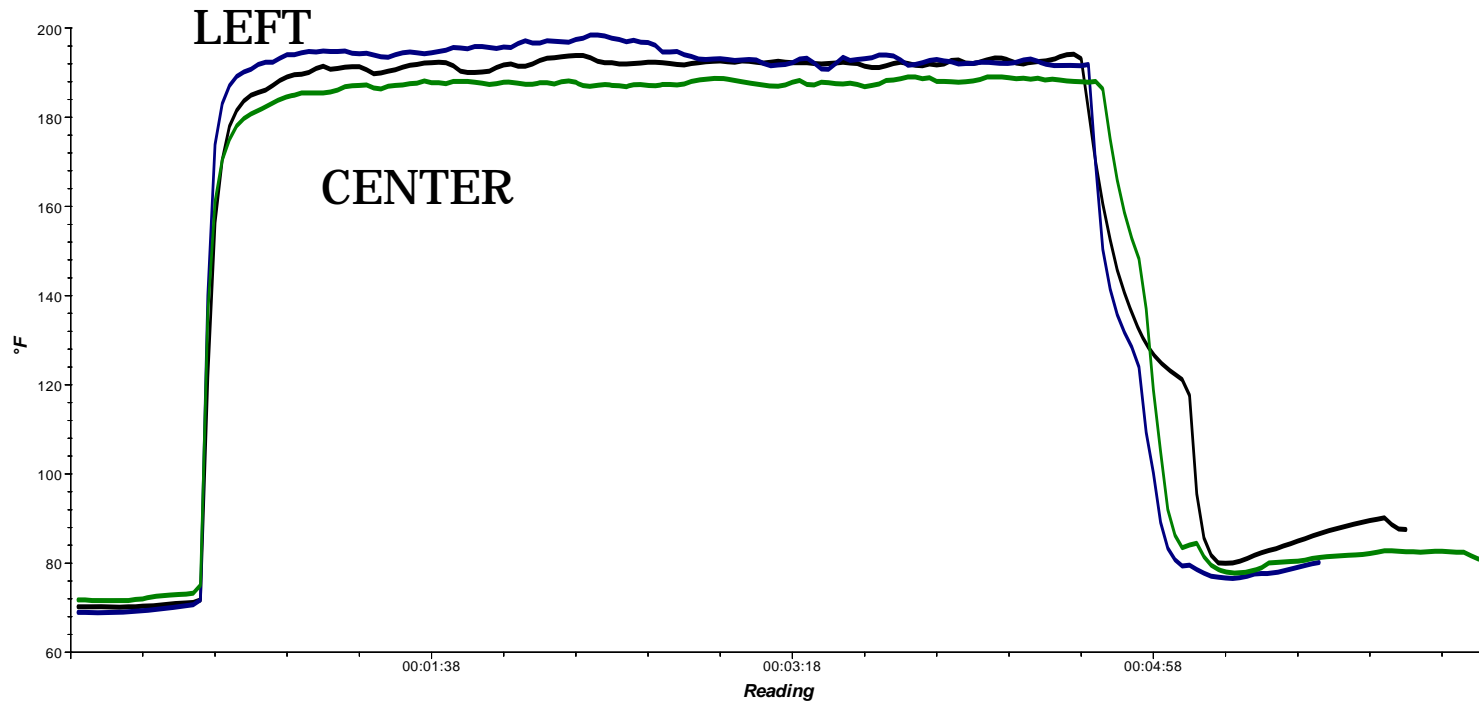


- If chamber has 4 hours @.5 ounces/ft<sup>3</sup> it meet FDA 5- log approval for almonds.
  - ✦ Needs to be verified for pecans
  - ✦ Label restricts some of the earlier research done with PPO

# Almond Hot Water System



Hot Water Treatment System



— ST026876-°F — ST049313-°F  
— ST057411-°F



# DataTrace Temperature Recorders



# DataTrace Temperature and Reader



# PPO Chamber





# Validation by Surrogate

## *Enterococcus faecium*



# What About Chlorine as a Validation Method?



- Chlorine has many advantages and disadvantages.
- Low cost, broad activity, effective at low concentrations.
- Difficult to retain ppm chlorine in solution due to the organic load which depletes chlorine concentration.
- More effective at low PH, promotes rancidity
- Method of control
- Example of alfalfa
- More research needed to determine effectiveness

# What About Dryers To Get 4-Log Reduction?



- This would have to be tested with surrogate inoculation.
- Typically, dryers in the 200°F for 45 minutes will deliver 1-2 logs. Generally, 240°F for one hour will deliver 4-logs but this would roast the pecan.
  - ✦ Risk Assessment could change level of log destruction

# Validation Before Shelling, Caution is Needed



- The best pasteurization system is right before packaging
- After shelling downstream sanitation is important to prevent pathogen contamination.
- Equipment and floors should be swabbed to guarantee pathogen free contact points.
- Air ventilation, untreated storage areas blowing into treated areas.
- Separation of product untreated from treated.

# What Can We Learn from the Peanut Recall?



- **DO NOT RETEST** for pathogens (obs #1, FDA report 1/9/09)
- **Dry roaster not validated** (obs #3)
- **Finished totes stored next to raw peanuts** (obs#4). Two floor swabs were positive for salmonella
- **Using equipment materials that were not cleanable** (obs #6)
- **Improper air ventilation between raw areas and finished areas** (obs #7)
- **Multiple use of sink for hand-washing**(obs #8)
- **Inadequate cleanup of equipment and support structures** (obs #9)
- **Inadequate pest control, live cockroaches found next to packaging area** (obs #10)



# Next Steps



- Set up research budget through NPSA
- Update research on hot water systems, oil/dry roasting, PPO & chlorine dip
- Survey to determine level of contamination for in-shell pecans and meats
- Research appropriate surrogate for pecans, develop testing laboratories
- Develop minimum standards for PA's and recruit expertise
- Complete Risk Assessment based on survey results
- Establish Validation Authority
  - \* Review reports and issue validation
- Work with NPSA to validate processing systems